

**RECEIVED
CENTRAL FAX CENTER****JAN 22 2004****OFFICIAL****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Andy L. Lee et al.
Application no. : 09/761,609
Confirm. no.: : 5825
Filed : January 16, 2001
For : SHIFT REGISTER IMPLEMENTATION OF
FIRST-IN/FIRST-OUT MEMORIES
Group art unit : 2186
Examiner : Hong Chong Kim

OFFICIAL FACSIMILE TRANSMITTAL**TO:**

Hon. Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

FACSIMILE NUMBER:

703.872.9306

THE SENDER IS:

Daniel M. Becker
Reg. No. 38,376
FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020
Tel. (650) 617-4000 (Calif.)
Fax. (212) 596-9090 (New York)

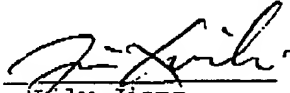
CLIENT NO.:

000174.0188

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted
to the U.S. Patent and Trademark Office on the date shown below,
pursuant to 37 C.F.R. § 1.6(d).

January 22, 2004
Date


Lily Jiang

TOTAL NUMBER OF PAGES, INCLUDING COVER LETTER 24

For Other Than A Small Entity

PATENTS
AL/188

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Andy L. Lee et al.
Application No.: 09/761,609 Confirmation No.: 5825
Filed : January 16, 2001
For : SHIFT REGISTER IMPLEMENTATION OF FIRST-
IN/FIRST-OUT MEMORIES
Group Art Unit : 2186
Examiner : Hong Chong Kim

BY FACSIMILE

Hon. Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

REQUEST FOR ENTRY OF TIMELY FILED AMENDMENTS

Sir:

Mr. Robert Jackson, attorney of record, received a telephone call from Examiner Kim stating that the USPTO had not received any response from applicants to the office action mailed May 21, 2003.

Applicants timely filed a reply to the May 21, 2003 office action on Monday, September 22, 2003, with a petition and fee for one (1) month extension of time, by deposit with the United States Postal Service's "Express Mail Post Office

to Addressee" service under Express Mail label EV300003685US.
Enclosed herewith as evidence thereof, is:

- (1) The Express Mail Certification, signed by Ms. Lorraine Coke, Fish & Neave Patent Assistant, identifying the date of deposit as September 22, 2003;
- (2) A copy of the return addressed post card mailed with the response; no PTO-stamped copy has been received;
- (3) The "Express Mail Order Slip", as completed by the Fish & Neave Patent Department, dated September 22, 2003; the date and time of mailing by the Fish & Neave mail room staff is shown separately thereon as 17:17, September 22, 2003;
- (4) The Express Mail Label dated and stamped September 22, 2003 by the United States Postal Service (USPS);
- (5) A printout of USPS tracking data showing delivery of the express mail to the USPTO; the mail was signed by J Steckel on 9:23 am, September 24, 2003;
- (6) Check #6230 dated September 22, 2003 in the amount of \$110.00 for payment of one month extension fee; the check was cleared by the USPTO on October 2, 2003;
- (7) The transmittal letter dated September 22, 2003; and
- (8) The Amendment and Response dated September 22, 2003.

As set forth above, the reply to office action mailed May 21, 2003 was timely filed with the USPTO on September 22, 2003.

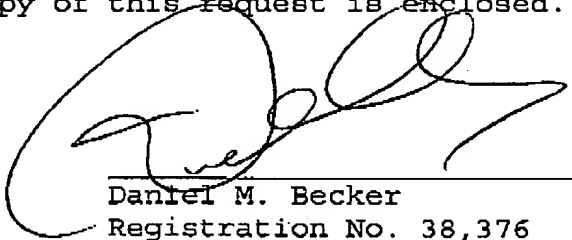
Applicants respectfully request that the amendment and response filed on September 22, 2003, a true and correct copy of which is enclosed herewith, be entered, and further request an early and favorable action.

Applicants invite the Examiner to call the undersigned if the Examiner believes that any matters remain outstanding.

The Commissioner is hereby authorized to charge payment of any fees due in connection with the papers transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075.

A duplicate copy of this request is enclosed.

22 JAN 2003
Date


Daniel M. Becker
Registration No. 38,376

For: Robert R. Jackson
Reg. No. 26,183
Attorney for Applicants

FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020
Tel.: (212) 596-9000
FAX: (212) 596-9090

DOCKET No. AL/188

CONFIRMATION No. 5825

APPLICANTS Andy L. Lee, et al

APPLICATION No. 09/761,609 FILED January 16, 2001

RECEIPT IS HEREBY ACKNOWLEDGED OF THE

Express Mail Certification; Transmittal Letter in Duplicate; Reply to Office Action dated May 21, 2003; Check for \$110.00

DATED September 22, 2003

FILED IN CONNECTION WITH THE ABOVE CASE.

COMMISSIONER FOR PATENTS

EV300003685US

EV300003685US

Docket No. AL/188

Applicants : Andy L. Lee, et al.
Application No. : 09/761,609 Confirmation No.: 5825
Filed : January 16, 2001
For : SHIFT REGISTER IMPLEMENTATION OF FIRST-
IN/FIRST-OUT MEMORIES
Group Art Unit : 2186
Examiner : Hong Chong Kim

EXPRESS MAIL CERTIFICATION

"Express Mail" Number EV300003685US
Date of Deposit September 22, 2003

I hereby certify that this paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Honorable Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.


Lorraine Coke

Enclosures: Transmittal Letter (in duplicate)
Reply to Office Action
Check in the Amount of \$110
Return Postcard

Express Mail Order Slip

To Be Completed By Pat Dept:

Date: 9/22/03

Express Mail Label No. EV500003685005

Docket No. AI/188

Application No. 000174/9188

To Be Completed By Mailroom Clerk at Post Office:

Date and Time of Mailing: 9-22-03 17:17

Place of Mailing
(e.g., "FDR Post Office"),
Including Time of Last

Pick-up If
Street Box: P.A. POST OFFICE

Customer Copy
Label 4-F June 2002

EXPRESS MAIL

Post Office To Addressee

ORIGIN (POSTAL USE ONLY)

PO Zip Copy: 10001
Date of Delivery: 1/22/04
Day of Delivery: ☒ Next ☐ Later
Time of Delivery: 9:24 AM
Delivery Point: 136
Postage: \$13.60
Retailer Receipt Fee: \$0.00
GOD Fee: \$0.00
Total Postage & Fees: \$13.60
Recommended Cost: \$13.60
No Delivery: ☐ Inward: ☐ No Post: ☐

DELIVERY (POSTAL USE ONLY)

Delivery Attempt: ☒ AM ☐ PM
Time: 9:24 AM
Employee Signature: [Signature]
Employee Signature: [Signature]
Employee Signature: [Signature]

TO: PLEASE PRINT

MAIL STOP
HON. COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA VA 22313-1450

FROM: (PLEASE PRINT)

FISH & NEAVE
545 UNIVERSITY AVE STE 300
PALO ALTO CA 94301-1917

AL/188 000174.0188

FOR PICKUP OR TRACKING CALL 1-800-222-1811 WWW.USPS.COM



Track & Confirm

Current Status

You entered EV30 0003 685U S

Your item was delivered at 9:23 am on September 24, 2003 in
ALEXANDRIA, VA 22313 to PATENT OFFICE. The item was signed for
by J STECKEL.

[Shipment Details >](#)

Notification Options

- ▶ Track & Confirm by email [What is this?](#) [Go >](#)
▶ Request Proof of Delivery [What is this?](#) [Go >](#)



POSTAL INSPECTORS
Preserving the Trust

[site map](#) [contact us](#) [government services](#)

Copyright © 1999-2002 USPS. All Rights Reserved. [Terms of Use](#) [Privacy Policy](#)

Track & Confirm

Enter label number:

[Track & Confirm FAQs](#)

6230

90-7118/0211 306

DATE Sept. 23, 2003

\$ 110.00

DOLLARS

FISH AND NEAVE
PH 650-617-4000
625 UNIVERSITY AVE., STE. 300
PALO ALTO, CA 94301

Director of USPTO

One hundred ten and 00/100

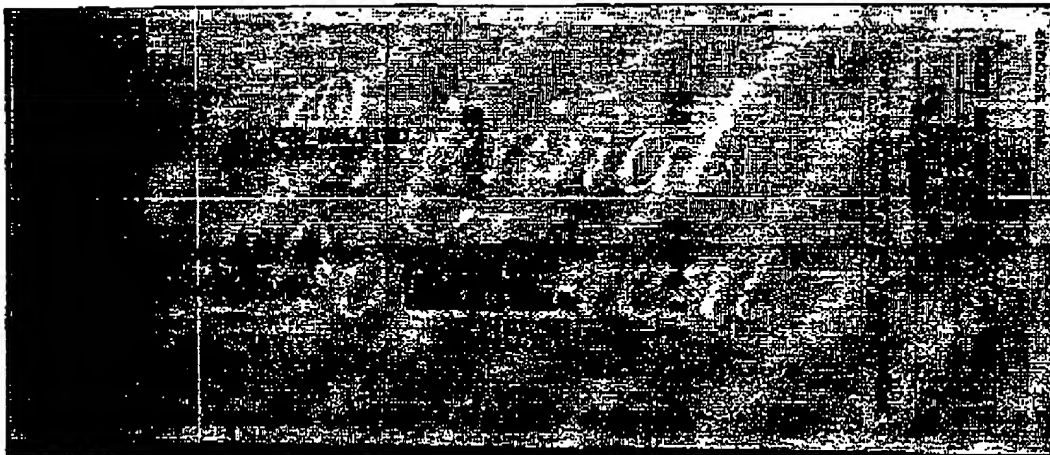
citibank
UNIONBANK
SAN FRANCISCO

FOR **File fee; 000174.0198**

⑈006230⑈ ⑈321171184⑈ 200018018⑈

Susan Neave

6230



Posted : 10/02/2003
Bank : 0013
R/T : 321171184
Account : 200018018
Check : 6230
Amount : 110.00
DIN : 140060431200

REV. 01/2003
For Other Than A Small Entity

PATENTS
AL/188

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andy L. Lee, et al.
Application No.: 09/761,609 Confirmation No.: 5825
Filed : January 16, 2001
For : SHIFT REGISTER IMPLEMENTATION OF FIRST-
IN/FIRST-OUT MEMORIES
Group Art Unit : 2186
Examiner : Hong Chong Kim

Hon. Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;
☒ a Reply to Office Action; ☐ a Supplemental Amendment;
☐ a substitute Specification; ☐ a Declaration; ☐ a
Supplemental Declaration; ☐ formal drawings; to be filed in
the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.

☐ A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	-	*	=	X \$ 18 = \$
INDEPENDENT CLAIMS	-	**	=	X \$ 84 = \$
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$280 = \$
* If less than 20, insert 20.				TOTAL \$
** If less than 3, insert 3.				

[] A check in the amount of \$_____ in payment of the filing fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

[X] The following extension is applicable to the Response filed herewith; [X] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [] \$410.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [] \$930.00 extension fee for response within third month pursuant to


37 C.F.R. § 1.136(a); ☐ \$1450.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136 (a); ☐ \$1970.00 extension fee for response within fifth month pursuant to 37 C.F.R. 1.136(a).

☒ A check in the amount of ☒ \$110.00; ☐ \$410.00; ☐ \$930.00; ☐ \$1450.00; ☐ \$1970.00; in payment of the extension fee is transmitted herewith.

☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ Please charge the ☐ \$110.00; ☐ \$410.00; ☐ \$930.00; ☐ \$1450.00; ☐ \$1970.00; extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

22 SEPT 2003


Daniel M. Becker
Registration No. 38,376

For: Robert R. Jackson
Reg. No. 26,183
Attorney for Applicants

FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020
Tel.: (650) 617-4000
FAX: (212) 596-9090